

PTO/SB/21 (08-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/671,314	
	Filing Date	September 24, 2003	
	First Named Inventor	Sprauve et al.	
	Art	Not assigned yet	
	Examiner	Not assigned yet	
Total Number of Pages in This Submission	6+	Attorney Docket Number	2102447-990101

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. 44 References enclosed 2. Return postcard
Remarks The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed. Customer No. 26379		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Alan A. Limbach, Reg. No. 39,749 Gray Cary Ware & Freidenrich LLP
Signature	
Date	December 10, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed	Kathleen LaBrie		
Signature		Date	December 10, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT

Applicants:	Michael Sprauve et al.
Application No.	10/671,314
Filed:	September 24, 2003
For:	Home Entertainment System And Method
Group Art Unit:	Not assigned yet
Examiner:	Not assigned yet
Attorney Docket No.:	2102447-990101

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-SB/08a for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (e) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (f) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Respectfully submitted,

Date: December 10, 2003

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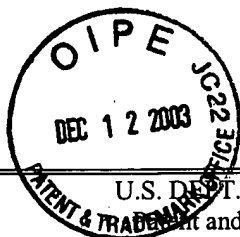
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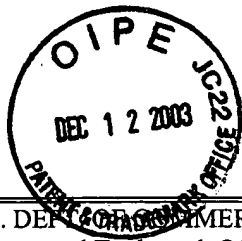
ATTORNEY DOCKET NO. 2102447-990101

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102447-99010	Serial Number: 10/671,314
	Applicant: Michael Sprauve et al.		
	Filing date: September 24, 2003	Group art unit: Not yet assigned	

U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	4,407,447	10/04/1983	Sayegh			
	4,514,729	04/30/1985	Szarka			
	4,959,713	09/25/1990	Morotomi et al.			
	5,086,385	02/04/1992	Launey et al.			
	5,285,136	02/08/1994	Duhamel			
	5,305,952	04/26/1994	Hannarong			
	5,319,698	06/07/1994	Glidewell et al.			
	5,400,246	03/21/1995	Wilson et al.			
	5,406,173	04/11/1995	Mix et al.			
	5,473,202	12/05/1995	Mudge et al.			
	5,498,931	03/12/1996	Bedocs			
	5,499,196	03/12/1996	Pacheco			
	5,572,438	11/05/1996	Ehlers et al.			
	5,579,221	11/26/1996	Mun			
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	5,640,143	06/17/1997	Myron			
	5,751,965	05/12/1998	Mayo			
	5,814,902	09/29/1998	Creasy et al.			
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	5,901,246	05/04/1999	Hoffberg et al.			
	5,905,882	05/19/1999	Sakagami et al.			
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	5,929,748	07/27/1999	Odinak			
	5,938,757	08/17/1999	Bertsch			
	5,946,209	08/31/1999	Eckel et al.			
	6,003,131	12/14/1999	Lee et al.			
	6,021,324	02/10/2000	Sizer et al.			
	6,029,092	02/22/2000	Stein			
	6,091,320	07/18/2000	Odinak			
	6,192,282	02/20/2001	Smith et al.			

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



ATTORNEY DOCKET NO.: 2102447-990101

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102447-99010	Serial Number: 10/671,314
		Applicant: Michael Sprauve et al.	
		Filing date: September 24, 2003	Group art unit: Not yet assigned

U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	6,199,136	03/06/2001	Shteyn			
	6,211,870	04/03/2001	Foster			
	6,288,716	09/11/2001	Humpleman			
	6,378,000	04/23/2002	Akatsu et al.			
	6,385,495	05/07/2002	Bennett			
	6,405,103	06/11/2002	Ryan et al.			
	6,459,938	10/01/2002	Ito et al.			
	6,473,661	10/29/2002	Wollner			
	6,496,862	12/17/2002	Akatsu et al.			
	6,505,087	01/07/2003	Lucas et al.			
	2001/0034754	10/25/2001	Elwahab			

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation	
						YES	NO
	JP 06230144A		Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Yi-Min Wang et al., "Towards Dependable Home Network: An Experience Report", April 18, 2000, Technical Report, Microsoft Research Microsoft Corporation

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	